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PTO/SB/05 (12/97)  
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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

KNI-145-A

Total Pages

51

First Named Inventor or Application Identifier

Hiroki Endo et al.

Express Mail Label No.

EL699973227US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

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1. ☐ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification [Total Pages (preferred arrangement set forth below)
- Descriptive title of the invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the invention
  - Brief Summary of the invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3. ☒ Drawing(s) (35 USC 113) [Total Sheets 

4. Oath or Declaration [Total Pages 

a. ☐ Newly executed (original or copy)

b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)  
(Note Box 5 below)

c. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting  
inventor(s) named in the prior application,  
see 37 CFR 1.63(d)(2) and 1.33(b).

5. ☐ Incorporation By Reference (useable if Box 4b is checked)  
The entire disclosure of the prior application, from which a  
copy of the oath or declaration is supplied under Box 4b,  
is considered as being part of the disclosure of the  
accompanying application and is hereby incorporated by  
reference therein.

6. ☐ Microfiche Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(b) Statement [ ] Power of Attorney  
(when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☒ Information Disclosure Statement (IDS)/PTO-1449 [ ] Copies of IDS Citations
12. ☒ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
14. ☐ Small Entity Statement(s) [ ] Statement filed in prior application,  
Status still proper and desired
15. ☒ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Other: .....

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: \_\_\_\_\_

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(Utility Patent Application Transmittal (PTO/SB/05) [4-1A]—page 1 of 1)

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Our Ref. KNI-145-A

Box Patent Application  
Assistant Commissioner For Patents  
Washington, D.C. 20231

23 January 2001

Sir:

Enclosed please find an application for U.S. Letters Patent as identified below:

Inventors: Hiroki ENDO, Taiichiro AOKI, and Akihiko  
NAKAMURA

Invention: METHOD FOR FORMING COATING FILM  
ON A PLATE-LIKE WORKPIECE

**This Application Claims Priority from Endo et al. Japanese Patent Application 2000-018261 filed on 27 January 2000**, and including: Specification, Claims and Abstract; Preliminary Amendment-A; Information Disclosure Statement; Transmittal Of Certified Priority Document; and Drawings - 2 sheets, formal.

Respectfully submitted,



William D. Blackman  
Attorney for Applicant  
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23 January 2001

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail Certificate EL699973227US in an envelope addressed to Box Patent Application, Assistant Commissioner For Patents, Washington, D.C. 20231 on 23 January 2001.

Dated: 23 January 2001  
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Danielle Patterson